

# GENERAL ISSUES



**The general issues  
surrounding research integrity**

## Comprehensive Academic Integrity framework



Eaton, S.E. (2024). Comprehensive Academic Integrity (CAI): An Ethical Framework for Educational Contexts. In: Eaton, S.E. (eds) Second Handbook of Academic Integrity. Springer International Handbooks of Education. Springer, Cham. [https://doi.org/10.1007/978-3-031-54144-5\\_194](https://doi.org/10.1007/978-3-031-54144-5_194)

**For notes:**



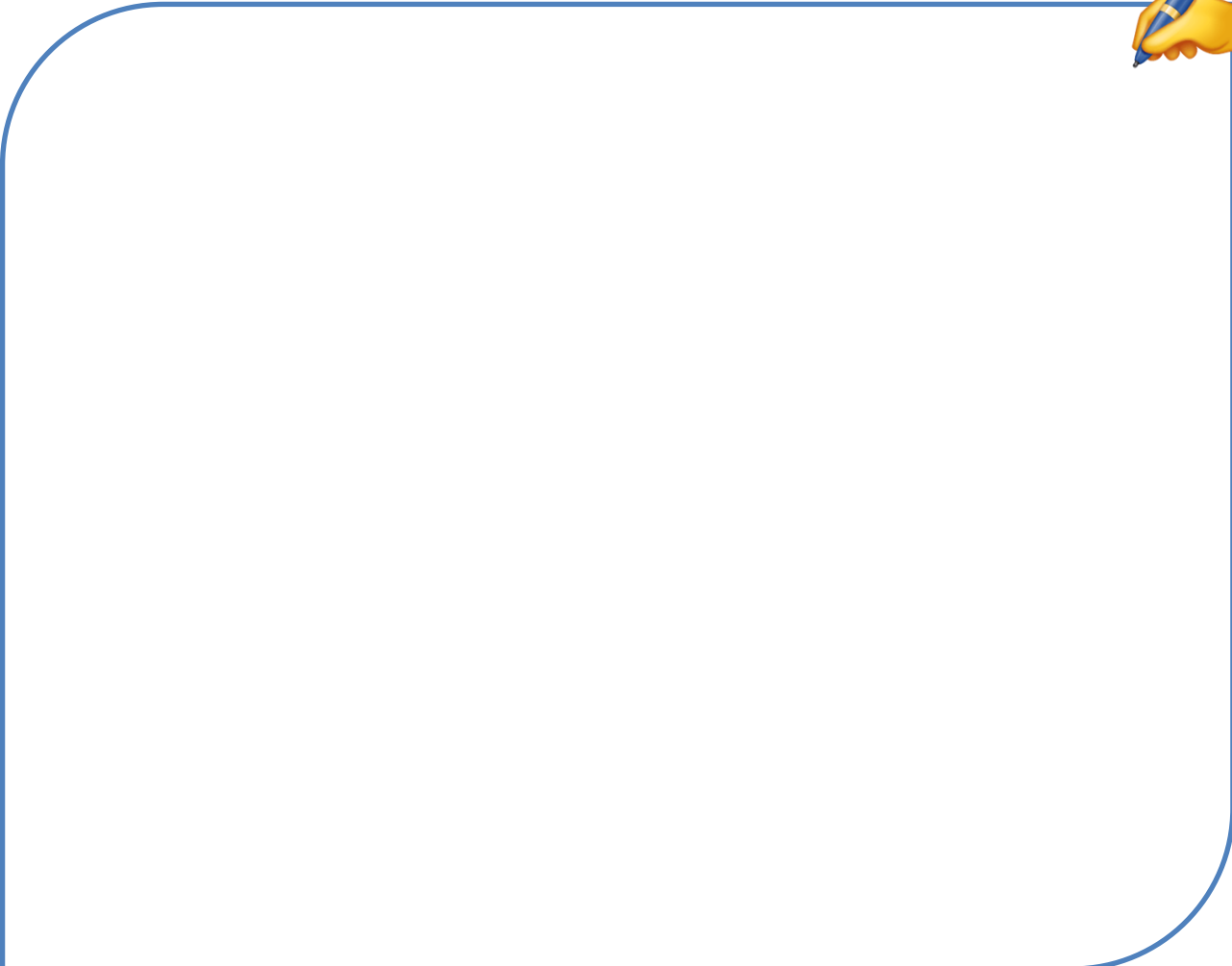
# Academic integrity

Integrity in the educational process

Research Integrity

Managerial integrity

For notes:



## Research Integrity

Research integrity refers to high-quality and robust practice across the full research process, i.e., the planning and conduct of research, the recording and reporting of results, and the dissemination, application, and exploitation of findings.

<https://www.york.ac.uk/staff/research/governance/research-integrity-and-ethics/>

For notes:



## Research Ethics

Research ethics is a subset of research integrity, focusing on the principle of avoidance of harm, within a statutory and regulatory framework.

<https://www.york.ac.uk/staff/research/governance/research-integrity-and-ethics/>

For notes:



## Research Ethics: objectivity

Strive to avoid bias in experimental design, data analysis, data interpretation, peer review, personnel decisions, grant writing, expert testimony, and other aspects of research where objectivity is expected or required.

Avoid or minimize bias or self-deception.

Disclose personal or financial interests that may affect research.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: integrity

Keep your promises and agreements;  
act with sincerity;  
strive for consistency of thought and  
action.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: carefulness

Avoid careless errors and negligence;  
carefully and critically examine your own work and the work of  
your peers.

Keep good records of research activities, such as data collection,  
research design, and correspondence with agencies or journals.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:





## Research Ethics: openness

Share data, results, ideas, tools, resources. Be open to criticism and new ideas.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: transparency

Disclose methods, materials, assumptions, analyses, and other information needed to evaluate your research.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: accountability

Take responsibility for your part in research and be prepared to give an account (i.e., an explanation or justification) of what you did on a research project and why.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:

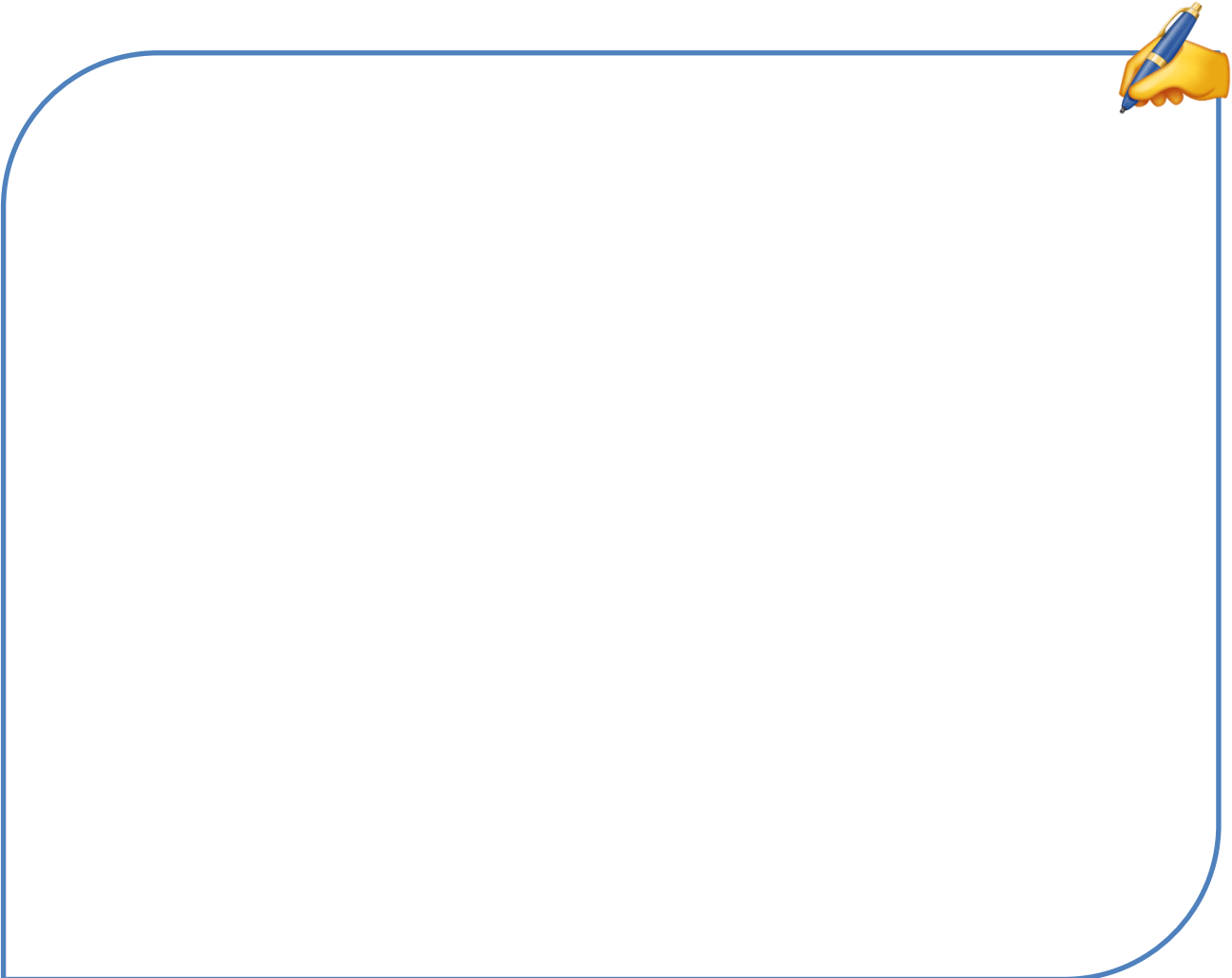


## Research Ethics: intellectual property

Honor patents, copyrights, and other forms of intellectual property.  
Do not use unpublished data, methods, or results without permission.  
Give proper acknowledgement or credit for all contributions to research.  
Never plagiarize.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: confidentiality

Protect confidential communications, such as papers or grants submitted for publication, personnel records, trade or military secrets, and patient records.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: responsible publication

Publish in order to advance research and scholarship, not to advance just your own career.

Avoid wasteful and duplicative publication.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: responsible mentoring

Help to educate, mentor, and advise researchers.  
Promote their welfare and allow them to make their own decisions.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: respect for colleagues

Respect your colleagues and treat them fairly.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:





## Research Ethics: social responsibility

Strive to promote social good and prevent or mitigate social harms through research, public education, and advocacy.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: non-discrimination

Avoid discrimination against colleagues on the basis of sex, race, ethnicity, or other factors not related to scientific competence and integrity.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: competence

Maintain and improve your own professional competence and expertise through lifelong education and learning; take steps to promote competence in science as a whole.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: legality

Know and obey relevant laws and institutional and governmental policies.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: animal care

Show proper respect and care for animals when using them in research.  
Do not conduct unnecessary or poorly designed animal experiments.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Research Ethics: human subjects protection

When conducting research on human subjects, minimize harms and risks and maximize benefits;  
respect human dignity, privacy, and autonomy;  
take special precautions with vulnerable populations;  
strive to distribute the benefits and burdens of research fairly.

<https://www.niehs.nih.gov/research/resources/bioethics/whatis>

For notes:



## Guiding principles for ethical research from the National Institutes of Health: social and clinical value

Every research study is designed to answer a specific question. The answer should be important enough to justify asking people to accept some risk or inconvenience for others. In other words, answers to the research question should contribute to scientific understanding of health or improve our ways of preventing, treating, or caring for people with a given disease to justify exposing participants to the risk and burden of research.

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/guiding-principles-ethical-research>

For notes:



## Guiding principles for ethical research from the National Institutes of Health: scientific validity

A study should be designed in a way that will get an understandable answer to the important research question. This includes considering whether the question asked is answerable, whether the research methods are valid and feasible, and whether the study is designed with accepted principles, clear methods, and reliable practices. Invalid research is unethical because it is a waste of resources and exposes people to risk for no purpose.

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/guiding-principles-ethical-research>

For notes:





## Guiding principles for ethical research from the National Institutes of Health: fair subject selection

The primary basis for recruiting participants should be the scientific goals of the study – not vulnerability, privilege, or other unrelated factors. Participants who accept the risks of research should be in a position to enjoy its benefits. Specific groups of participants (for example, women or children) should not be excluded from the research opportunities without a good scientific reason or a particular susceptibility to risk.

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/guiding-principles-ethical-research>

For notes:



## Guiding principles for ethical research from the National Institutes of Health: favorable risk-benefit ratio

Uncertainty about the degree of risks and benefits associated with a clinical research study is inherent. Research risks may be trivial or serious, transient or long-term. Risks can be physical, psychological, economic, or social. Everything should be done to minimize the risks and inconvenience to research participants to maximize the potential benefits, and to determine that the potential benefits are proportionate to, or outweigh, the risks.

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/guiding-principles-ethical-research>

For notes:



## Guiding principles for ethical research from the National Institutes of Health: independent review

To minimize potential conflicts of interest and make sure a study is ethically acceptable before it starts, an independent review panel should review the proposal and ask important questions, including: Are those conducting the trial sufficiently free of bias? Is the study doing all it can to protect research participants? Has the trial been ethically designed and is the risk–benefit ratio favorable? The panel also monitors a study while it is ongoing.

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/guiding-principles-ethical-research>

For notes:



## Guiding principles for ethical research from the National Institutes of Health: informed consent

Potential participants should make their own decision about whether they want to participate or continue participating in research. This is done through a process of informed consent in which individuals (1) are accurately informed of the purpose, methods, risks, benefits, and alternatives to the research, (2) understand this information and how it relates to their own clinical situation or interests, and (3) make a voluntary decision about whether to participate.

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/guiding-principles-ethical-research>

For notes:



## Guiding principles for ethical research from the National Institutes of Health: respect for potential and enrolled participants

Individuals should be treated with respect from the time they are approached for possible participation – even if they refuse enrollment in a study – throughout their participation and after their participation ends.

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/guiding-principles-ethical-research>

For notes:



## Myths about research integrity

- research integrity is **only** a general culture, and it has no normative basics and background
- research misconduct = plagiarism
- the percentages obtained by the "anti-plagiarism" program can determine the presence or absence of plagiarism
- violation of research integrity is a student's and postgraduate's "matter"
- academic dishonesty = low level of scientific novelty and practical significance
- the use of generative artificial intelligence is a violation of academic integrity
- open scientific practices will reduce the number of academic integrity violations

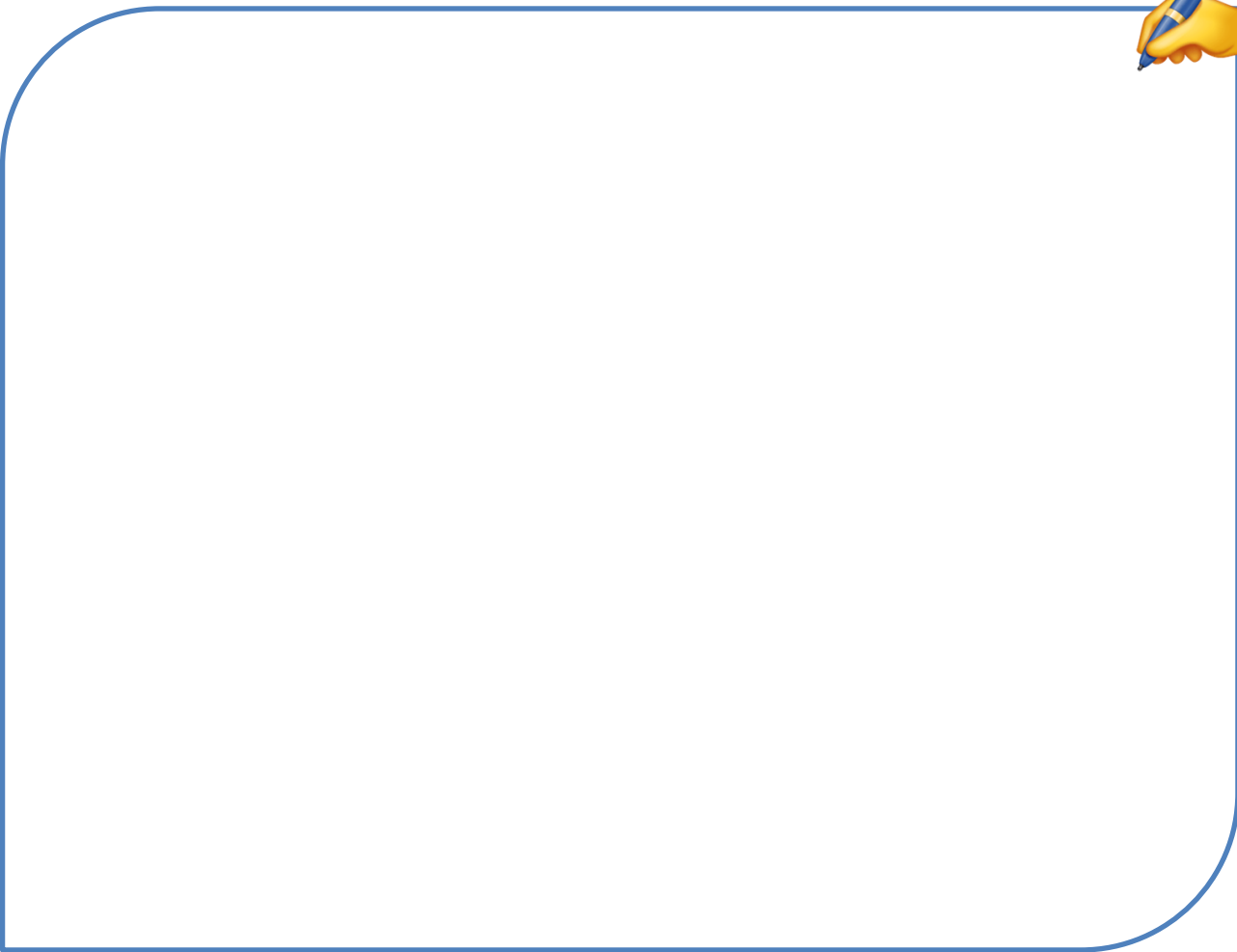
For notes:



## The "reasons" of Research Integrity violations

- 1. Laziness.
- 2. Lack of time / planning.
- 3. Fear of failure.
- 4. Competition.
- 5. Lack of knowledge.
- 6. Pressure to publish (for promotion, dissertation work)
- 8. The desire to receive recognition.
- 9. To influence others.

For notes:



## Six Pillars of Academic Integrity

HONESTY

TRUST

FAIRNESS

RESPECT

RESPONSIBILITY

COURAGE



<https://hotpot.ai/art-generator>

<https://academicintegrity.org/resources/fundamental-values>

For notes:





# HONESTY

Begins with individuals and extends out into the larger community. As students and faculty seek knowledge, they must be honest with themselves and with each other.

Cultivating and practicing honesty lays a foundation for lifelong integrity.

Being truthful

Giving credit to the owner of the work (i.e., musician, author, artist, speaker etc.)

Keeping promises

Providing factual evidence

Aspiring to objectivity, consider all sides and one's own potential preconceptions

For notes:

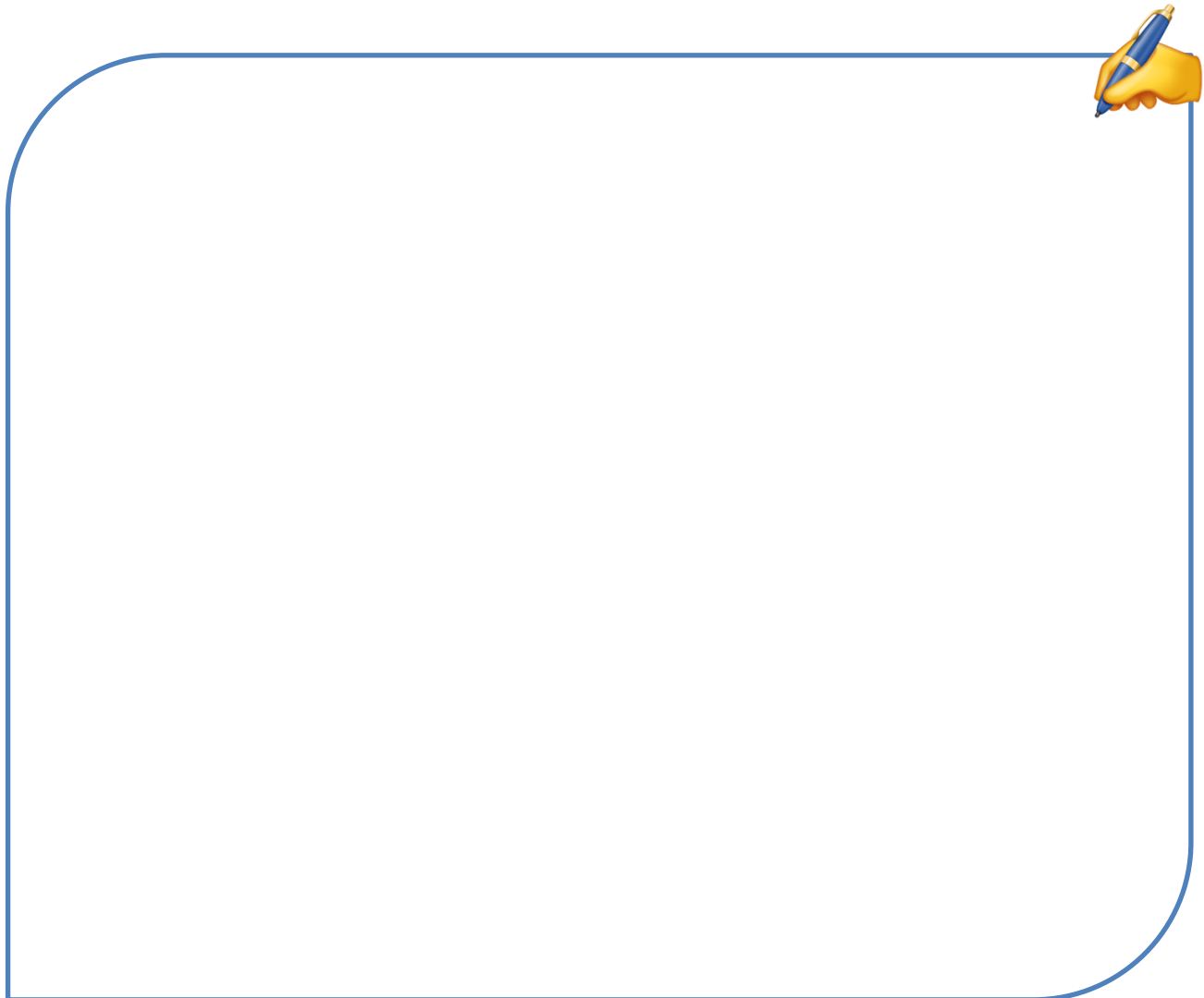


# TRUST

Enables collaboration, shared information, and the circulation of new ideas freely, without fear. Trust is reciprocal: being worthy of others' trust and allowing oneself to trust others go hand-in-hand. Students promote trust by preparing work that is honest, thoughtful, and genuine. Faculty promote trust by setting clear guidelines for assignments and for evaluating student work in an equitable, timely, and forthright manner

- Clearly stating expectations and follow through
- Promoting transparency in values, processes, and outcomes
- Trusting others
- Giving credence
- Encouraging mutual understanding
- Acting with genuineness

For notes:



# FAIRNESS

Stakeholders engage in fairness by doing their own original work, acknowledging borrowed work appropriately, respecting and upholding academic integrity policies, and by maintaining the good reputation of the institution.

Engaging with others equitably

Keeping an open-mind

Being objective

Taking responsibility for your own actions

For notes:



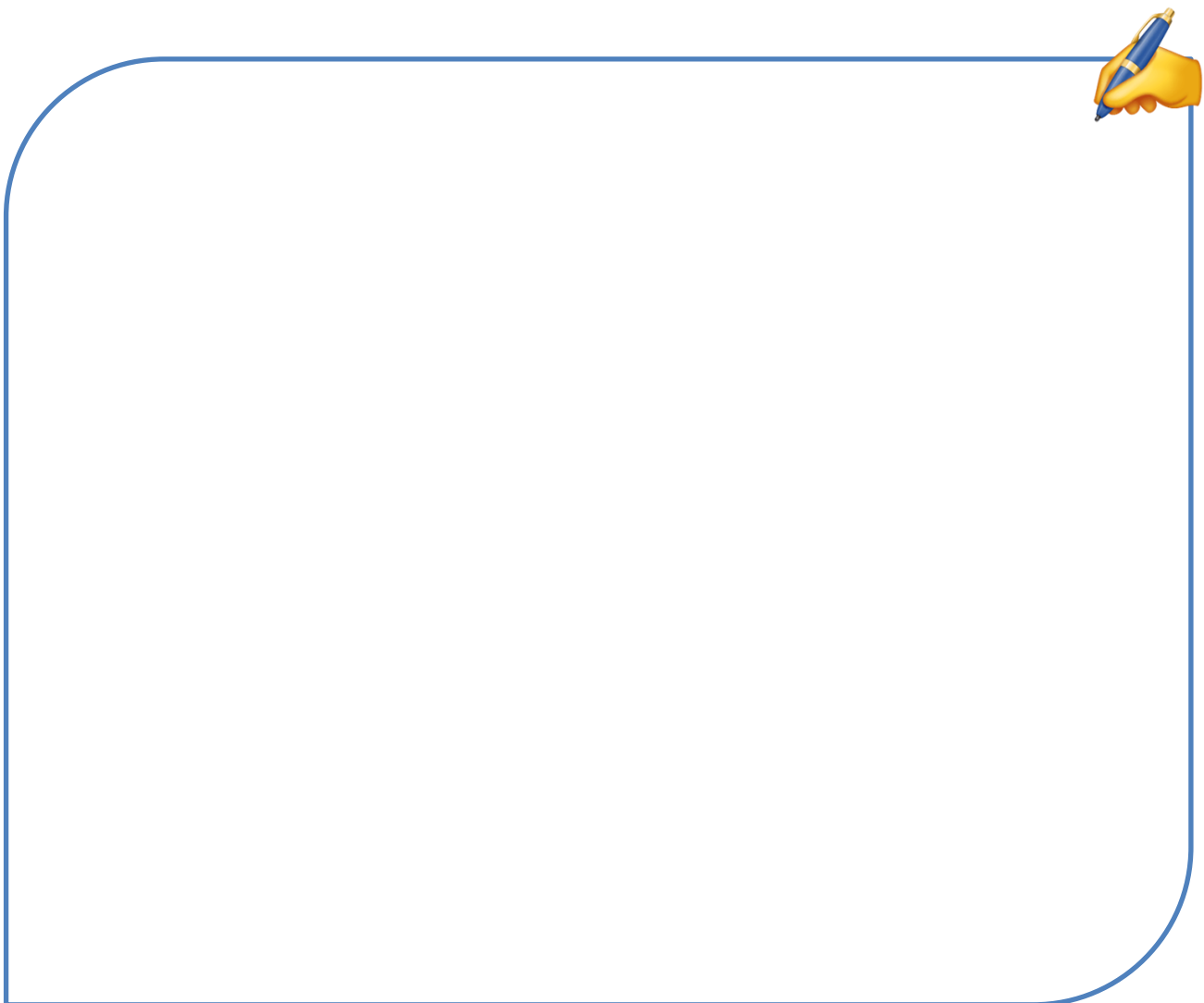
# RESPECT

Is reciprocal and requires showing respect for oneself as well as others. Respect means tackling challenges without compromising your own values. Respect for others means valuing the diversity of opinions and appreciating the need to challenge, test, and refine ideas.

Students show respect when they value and take advantage of opportunities to gain new knowledge by taking an active role in their own education, contributing to discussions, actively listening to other points of view, and performing to the best of their ability.

- Practicing active listening
- Receiving feedback willingly
- Accepting that others' thoughts and ideas have validity
- Showing empathy
- Seeking open communication
- Affirming others and accept differences
- Recognizing the consequences of our word and actions on others

For notes:

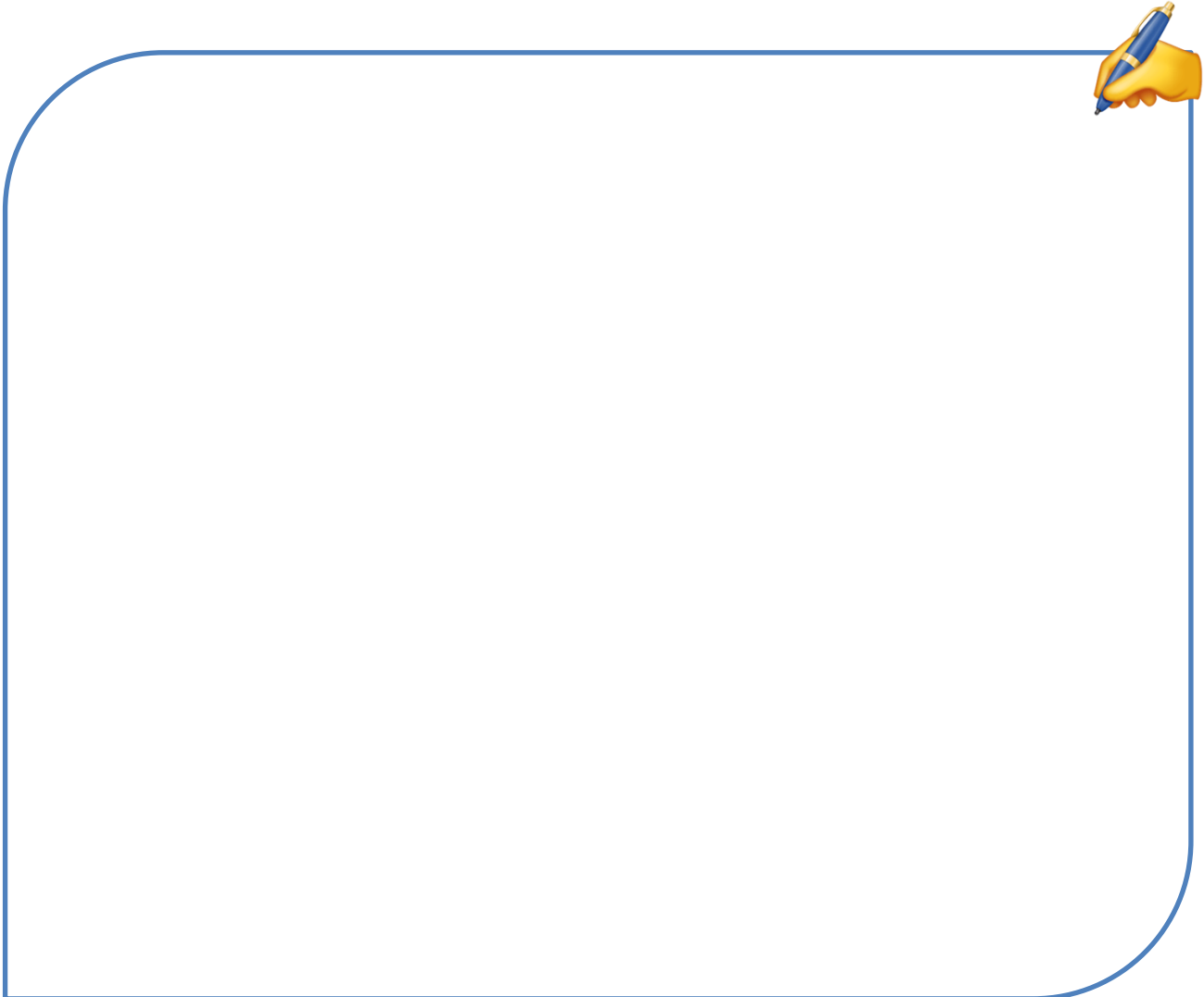


# RESPONSIBILITY

Being responsible means standing up against wrongdoing, resisting negative peer pressure, and serving as a positive example. Responsible individuals hold themselves accountable for their own actions and work to discourage and prevent misconduct by others.

- Holding yourself accountable for your actions
- Engaging with others in difficult conversations, even when silence might be easier
- Knowing and following institutional rules and conduct codes
- Creating, understanding, and respecting personal boundaries
- Following through with tasks and expectations
- Modeling good behavior

For notes:

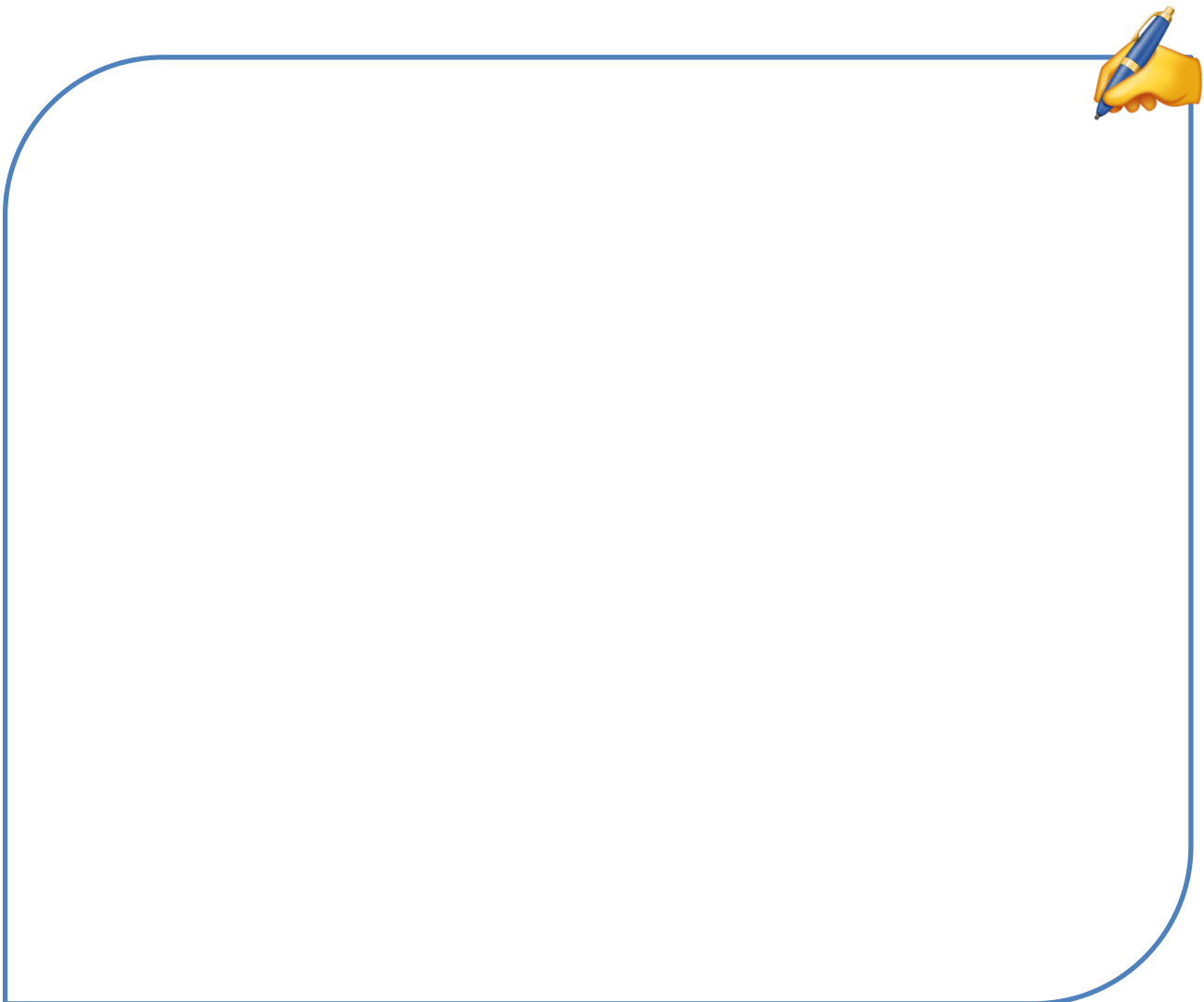


# COURAGE

Is the capacity to act in accordance with one's values despite fear. Being courageous means acting in accordance with one's convictions. Students who exhibit courage hold themselves and their fellow learners to the highest standards of academic integrity even when doing so involves risk of negative consequences, such as a bad grade, or reprisal from their peers or others.

- Being brave even when others might not
- Taking a stand to address a wrongdoing and support others doing the same
- Enduring discomfort for something you believe in
- Being undaunted in defending integrity
- Being willing to take risk and risk failure

For notes:



## European Code of Conduct for Research Integrity



**The European  
Code of Conduct for  
Research Integrity**  
REVISED EDITION



### Principles



Reliability



Honesty



Respect



Accountability

”

It is of crucial importance that researchers master the knowledge, methodologies and ethical practices associated with their field. Failing to follow good research practices violates professional responsibilities. It damages the research processes, degrades relationships among researchers, undermines trust in and the credibility of research, wastes resources and may expose research subjects, users, society or the environment to unnecessary harm.

<https://allea.org/code-of-conduct/>

For notes:

# Sarajevo Declaration on Integrity and Visibility of Scholarly Publications

Croat Med J. 2016 Dec; 57(6): 527–529.  
doi: [10.3325/cmj.2016.57.527](https://doi.org/10.3325/cmj.2016.57.527)

PMCID: PMC5209927  
PMID: [28051276](https://pubmed.ncbi.nlm.nih.gov/28051276/)

## Sarajevo Declaration on Integrity and Visibility of Scholarly Publications

Izet Mašić,<sup>1,2,\*</sup> Edin Begić,<sup>3,4</sup> Doncho M. Donev,<sup>5</sup> Srećko Gajović,<sup>6</sup> Armen Yuri Gasparyan,<sup>7</sup> Miro Jakovljević,<sup>8</sup>  
Dejan B. Milošević,<sup>9,10</sup> Osman Sinanović,<sup>2,11</sup> Šekib Sokolović,<sup>12</sup> Selma Uzunović,<sup>13</sup> and Enver Zerem<sup>14,15</sup>

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### Preamble

[Go to:](#) ►

Non-mainstream scholarly journals are struggling to maintain a healthy flow of the best submissions, influence science growth locally and internationally, and become indexed in prestigious bibliographic databases. There are financial and non-financial factors confounding editing and publishing practices across low-resource countries. Publishers in these countries often

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5209927/>

For notes:







<https://oeawi.at/wp-content/uploads/2018/09/ESF-research-integrity-report.pdf>

For notes:



snitch  
vs  
informer/ whistleblower



For notes:



### List of scientific misconduct incidents

From Wikipedia, the free encyclopedia

**Scientific misconduct** is the violation of the standard codes of scholarly conduct and ethical behavior in the publication of professional scientific research. A *Lancet* review on *Handling of Scientific Misconduct in Scandinavian countries* gave examples of policy definitions. In Denmark, scientific misconduct is defined as "intention[al] or gross negligence leading to fabrication of the scientific message or a false credit or emphasis given to a scientist", and in Sweden as "intention[al] distortion of the research process by fabrication of data, text, hypothesis, or methods from another researcher's manuscript form or publication; or distortion of the research process in other ways."<sup>[1][2]</sup> A 2009 systematic review and meta-analysis of survey data found that about 2% of scientists admitted to falsifying, fabricating, or modifying data at least once.<sup>[3]</sup>

*This is a dynamic list and may never be able to satisfy particular standards for completeness. You can help by expanding it with reliably sourced entries.*

<b>Contents</b> <span>[hide]</span>
1 Biomedical sciences
2 Chemistry
3 Computer science and mathematics
4 Physics
5 Plant biology
6 Social sciences
7 Other
8 See also
9 References

[https://en.wikipedia.org/wiki/List\\_of\\_scientific\\_misconduct\\_incidents](https://en.wikipedia.org/wiki/List_of_scientific_misconduct_incidents)

For notes:



## Research Integrity and Corruption

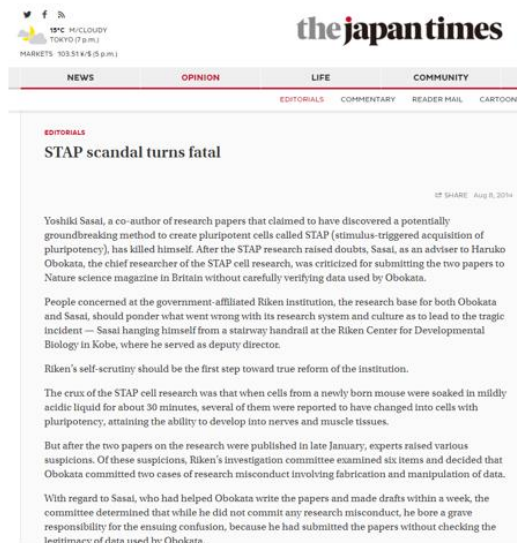


The screenshot shows the top portion of a Department of Justice website page. At the top left is the Department of Justice seal. To its right, the text reads "THE UNITED STATES DEPARTMENT OF JUSTICE". Below this is a navigation menu with links for "ABOUT", "OUR AGENCY", "PRIORITIES", "NEWS", "RESOURCES", and "CAREER". Underneath the menu is a breadcrumb trail: "Home » Office of Public Affairs » News". A black banner with the text "JUSTICE NEWS" is visible. Below the banner, the text "Department of Justice" and "Office of Public Affairs" is centered. The date "Thursday, July 9, 2020" is on the right, and "FOR IMMEDIATE RELEASE" is on the left. The main heading of the press release is "Researcher Charged with Illegally Using U.S. Grant Funds to Develop Scientific Expertise for China". The body text describes a rheumatology professor, Song Guo Zheng, who was arrested on May 22, 2020, for using approximately \$4.1 million in NIH grants to develop expertise in China. It also mentions he was charged with making false statements about his employment in China.

<https://www.justice.gov/opa/pr/researcher-charged-illegally-using-us-grant-funds-develop-scientific-expertise-china>

For notes:



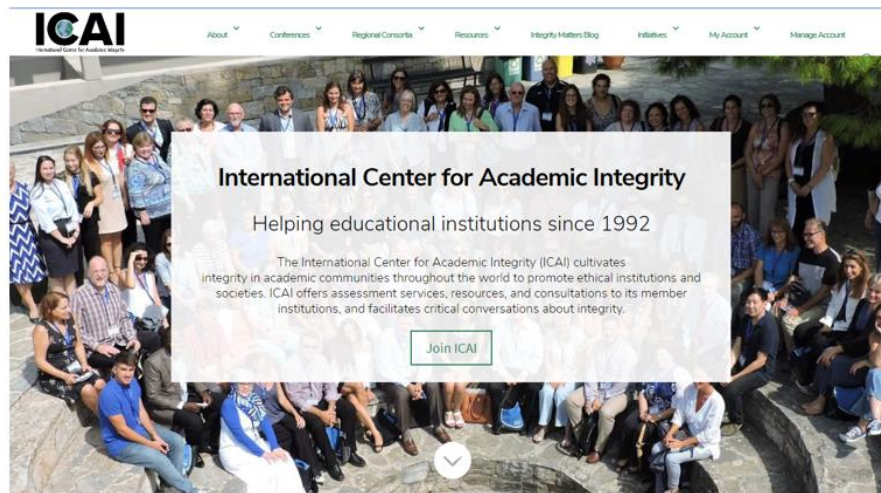


<https://www.japantimes.co.jp/opinion/2014/08/08/editorials/stap-scandal-turns-fatal/>

For notes:



## Providers of Research Integrity



<https://www.academicintegrity.org/>

For notes:



## Providers of Research Integrity

ENAI Home Objectives Membership Working groups Activities Events Newsletters Contacts

### European Network for Academic Integrity

supporting higher education institutions to work together in the field of academic integrity

Contact us

- Objectives**  
The objectives of the association are to promote integrity in whole academia sector.  
[Read more](#)
- Education**  
Educational materials for HEI teachers and students are a part of the project outputs.  
[Read more](#)
- Glossary**  
Definitions and explanations of various terms related to academic integrity.  
[Read more](#)
- Guidelines**  
Handbook for improvements in academic integrity is a part of the project outputs.  
[Read more](#)
- Self-Evaluation Tools**  
Check your knowledge and good practice related to academic integrity.  
[Read more](#)
- Conference**  
Plagiarism across Europe and Beyond 2020 - University of Wollongong in Dubai, UAE  
[Read more](#)

<http://www.academicintegrity.eu/wp/>

For notes:



## Providers of Research Integrity

The screenshot shows the website header for the Council of Europe Platform on Ethics, Transparency and Integrity in Education (ETINED). The header includes the Council of Europe logo and the text "COUNCIL OF EUROPE" and "ETINED Council of Europe Platform on Ethics, Transparency and Integrity in Education". Below the header is a navigation menu with links for Home, News, About Etined, Events, In the field, Resources, and Contacts. The main content area features a paragraph about worldwide concern over corruption in education, a sub-header "You are here: Democracy > ETINED", and a paragraph explaining the platform's mission. To the right, there is a logo for ETINED and a button that says "Visit the Education website".

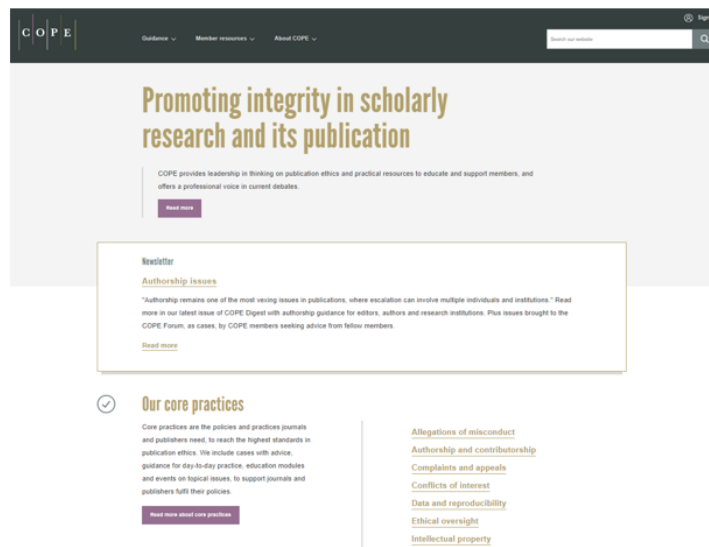
<https://www.coe.int/en/web/ethics-transparency-integrity-in-education>

For notes:





## Providers of Research Integrity



The screenshot shows the COPE (Committee on Publication Ethics) website. The header includes the COPE logo, navigation links for 'Guidance', 'Member resources', and 'About COPE', and a search bar. The main content area features a large heading: 'Promoting integrity in scholarly research and its publication'. Below this, a paragraph states: 'COPE provides leadership in thinking on publication ethics and practical resources to educate and support members, and offers a professional voice in current debates.' A 'Read more' button is present. A 'Newsletter' section follows, with a sub-heading 'Authorship issues' and a quote: '"Authorship remains one of the most vexing issues in publications, where escalation can involve multiple individuals and institutions." Read more in our latest issue of COPE Digest with authoring guidance for editors, authors and research institutions. Plus issues brought to the COPE Forum, as cases, by COPE members seeking advice from fellow members.' Another 'Read more' button is provided. The 'Our core practices' section is marked with a checkmark icon and includes a paragraph: 'Core practices are the policies and practices journals and publishers need, to reach the highest standards in publication ethics. We include cases with advice, guidance for day-to-day practice, education modules and events on topical issues, to support journals and publishers fulfil their policies.' A 'Read more about core practices' button is included. To the right, a list of topics is provided: 'Allegations of misconduct', 'Authorship and contributorship', 'Complaints and appeals', 'Conflicts of interest', 'Data and reproducibility', 'Ethical oversight', and 'Intellectual property'.

<https://publicationethics.org/>

For notes:



## Providers of Research Integrity

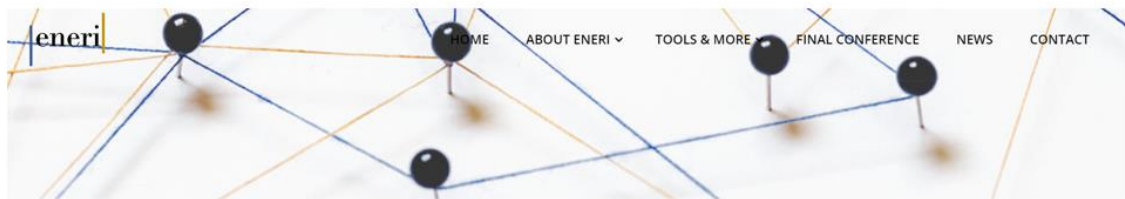


<https://www.enrio.eu/>

For notes:



## Providers of Research Integrity



### WELCOME TO ENERI, THE “EUROPEAN NETWORK FOR RESEARCH ETHICS AND INTEGRITY”

As a network of networks, ENERI brings together researchers and other relevant stakeholders from two important fields: Research Ethics (RE) and Research Integrity (RI). ENERI started in 2016 as a three-year Horizon 2020 project. The project ended in 2019, but the network of networks is still alive and is a great support for the RE and RI community in exchanging, collaborating, and joining forces. For the latest information from the RE and RI community, please check out our News section.

#### What is here for me?

The ENERI e-Community has been set up as a permanent platform to facilitate better communication and collaboration. You will also find practical recommendations and tools for researchers, research ethics committees and research integrity offices developed during the project, in particular the RI Handbook,

<https://eneri.eu/>

For notes:



## Providers of Research Integrity



HOME Home > APRI NETWORK

APRI NETWORK

ORGANIZATION

PROGRAM

REGISTRATION

ABSTRACT SUBMISSION

### APRI NETWORK

#### Vision and Objectives

The APRI Network will further multi-national awareness, understanding and opportunities for collaboration.

For the long-term vision, this is an essential next step in the creation of a sustainable, robust international partnership that will promote research integrity in the region.

While improving management of research misconduct is a nominal goal, fostering better communication, sharing of resources and identifying opportunities for collaboration can serve as a foundation for a proactive approach – an approach that focuses on mechanisms to create environments in which it would be difficult, if not impossible, for research misconduct to occur.

<https://apri2023.org/apri-network/>

For notes:



# Providers of Research Integrity



Home | About | Membership Benefits | Join EARMA | Latest News | Courses & Mentoring | Projects | Events & Conferences | Thematic groups | RMA Careers | RM Registration



Ethics And Research Integrity Officer Network (ERION)

ERION is the Ethics and Research Integrity Officer Network within EARMA. It is an open community to discuss the practical and implementation side of Research Ethics and Integrity. The community is for all those that need to ensure compliance, efficiency, functionality, fairness and robustness in the practices and processes in their organisation. Read more about ERION [here](https://earma.org/erion/).

<https://earma.org/erion/>

For notes: