

DOI <https://doi.org/10.30525/978-9934-26-597-6-2>

## THEORETICAL MODELLING OF THE PROCESSES IN NANODEVICES: PROBLEMS AND PERSPECTIVES

**Emma K Shidlovskaya\***

*Aeronautics, Space Engineering and Transport Institute,  
Riga Technical University, Latvia*

*\*Corresponding author e-mail: shidlovskaya@inbox.lv*

### Abstract

Application of cluster embedding method with non-orthogonal wave functions for theoretical study of the processes in nanosystems is considered. We demonstrate that our cluster embedding method is compatible with quantum transport theory based on time-dependent DFT. We conclude that quantum transport theory methods may be applied if we use one-electron approaches both with orthogonal and non-orthogonal wave functions. Possibilities to generalise quantum transport theory methods on the case of temperature-dependent electron transitions and theoretical modelling of temperature-dependent processes in nanosystems are studied. Applicability of quantum transport theory methods to theoretical modelling of nanodevices is discussed.

*Keywords:* embedded molecular cluster model, non-orthogonal wave functions, quantum transport theory, current in nanodevices

### 1 Introduction

When we theoretically describe nanodevice we have to treat the whole quantum system as two subsystems: small finite fragment of the system containing nanodevice (cluster) and the rest of the system containing electrodes. Problem "cluster in the field of the rest of system" is successfully solved in the frameworks of embedded molecular cluster (EMC) model with *orthogonal* wave functions. We have modified EMC model treating cluster embedding problem in the frameworks of one-electron approximation with *non-orthogonal* wave functions. We have proposed new cluster embedding scheme based on this approach [1].

Our present aim is further development of cluster embedding method with non-orthogonal wave functions for quantum-chemical modelling of processes in nanodevices. We study possibilities to combine our cluster embedding method with quantum transport theory approaches. Our goals are calculation scheme for theoretical treatment of processes in nanosystems and calculation of electric current in nanodevices.

### 2 Cluster embedding equations

Our cluster embedding scheme [1] is based on Hartree-Fock (HF) method. In the last years HF one-electron equations are rarely used. Calculations

usually are carried out in the frameworks of density functional theory (DFT) with one-electron Kohn-Sham equations. For theoretical modeling of nanodevices we are planning to apply quantum transport theory based on DFT. Therefore, we should find cluster embedding equations our variation procedure gives when we use DFT Kohn-Sham approach.

Deriving the equations we see that total energy of many-electron system described by non-orthogonal one-electron wave functions on the both HF and DFT Kohn-Sham levels may be written in the same way. Varying expression for the total energy and analyzing our variation procedure we demonstrate [2] that our cluster embedding method based on HF calculation scheme is compatible with DFT Kohn-Sham calculation scheme. Cluster embedding equations remain the same if instead of Fock operator we use Kohn-Sham Hamiltonian. Therefore, there exists possibility to use quantum transport theory based on time-dependent DFT (TDDFT) and our cluster model (with non-orthogonal one-electron wave functions). We came to conclusion that our embedding scheme may be combined with TDDFT if electron transitions are described correctly: occupied and vacant cluster states are localized in the cluster region in the same manner. Our initial embedding equations [1] are established to give localized in the cluster region occupied states while vacant ones are delocalized [3]. To get occupied and vacant states of the same localization degree, we have modified [3] our initial cluster embedding equations.

### **3 Quantum transport theory and cluster model**

One of the approaches for calculation of electrical properties of nanodevices is quantum transport theory method based on TDDFT developed by Gross with co-workers [4]. We study possibility to combine our cluster approach with approach of Gross et al. Method of Gross implies that wave functions of nanodevice central part are orthogonal to the wave functions of the electrodes. We show [2] that approach for electric current calculation developed for orthogonal wave functions may be applied for non-orthogonal wave functions if we transform initial equations assuming that overlaps between wave functions are small ( $S^2 \ll S$ ). Using this assumption we may combine our cluster embedding method with approach of Gross et al. and calculate electric parameters of nanodevices.

We conclude [2] that our cluster embedding method is compatible with electric current calculation method based on TDDFT [4]. Using our cluster embedding method and combining it with electric current calculation methods based on TDDFT we propose calculation scheme for electric parameters of nanodevices.

### **4 Conclusions**

We demonstrate that our cluster embedding method is compatible with DFT Kohn-Sham method. We conclude that our embedding scheme may be combined with time-dependent DFT (TDDFT). It means that we can use electric current calculation methods based on TDDFT and obtain electric parameters of nanodevices from the first principles. We use TDDFT based quantum transport theory method of Gross et al [4] and propose approach for calculation of electric parameters of nanodevices. Consideration of calculation procedures and derivation of corresponding formulas leads us to the following conclusions.

Quantum transport theory methods for electric current calculation may be applied if we deal with one-electron approaches. In this case we can easily construct one-electron density and get continuity equation for electric current.

To treat processes in nanodevices, we should consider temperature-dependent electron transitions. In the frameworks of one-electron approach we can define temperature-dependent occupation numbers for vacant and occupied one-electron states. One-electron density may be constructed and continuity equation for electric current may be obtained using these occupation numbers. If processes in nanodevices may be described by temperature-dependent electron transitions between stationary one-electron states we can easily treat them applying TDDFT approaches (including this one based on our cluster embedding method).

Situation is more complicated if we want to overcome limitations of one-electron approximation using approaches like configuration interaction (CI) or perturbation theory (PT) methods. Our cluster embedding scheme is compatible with PT or CI methods because occupied and vacant cluster states are localized in the cluster region in the same manner. One-electron density may be constructed for these methods, too. But possibility to get continuity equation and expression for electric current in general form requires further study.

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### Authors



**Emma K. Shidlovskaya, 1962, Vitebsk region, Belarus.**

**Current position, grades:** Dr. phys., lecturer at Riga Technical University, Riga (Latvia).

**University studies:** University of Latvia, Riga (Latvia), Dr. phys., 1998.

**Scientific interests:** theoretical physics and chemistry, solid state theory, quantum chemistry, theory of nanosystems, computer modelling.

**Publications:** 12 regular papers in international scientific journals.

**Experience:** 18 years of scientific research experience, 20 years of teaching experience.